

# APPLICATION UNDER UNITED STATES PATENT LAWS

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Invention: APPARATUS AND PROCESS FOR EXAMINING A SURFACE

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## SPECIFICATION

03066393 062201  
T03290 35E99250

## APPARATUS AND PROCESS FOR EXAMINING A SURFACE

*Ans C1*

The invention relates to an apparatus and to a process designed to make it possible to evaluate the characteristics of a surface, in particular the brightness, for example of the skin or more generally of all keratinous surfaces.

*Ans C2*  
*Ans C3*

The apparatus is of the kind comprising a light source directed towards the surface to be examined, a photodetector means sensitive to the light reflected by the surface, means to measure the specular reflection and the diffuse reflection from the surface and means to determine the brightness from the measurement of the specular reflection and of the diffuse reflection. On this subject, document FR-A-2 650 890 can be consulted. The tests carried out have shown that such an apparatus, while giving satisfactory results, has a relatively small sensitivity and discriminative power.

Document EP B 0 475 803 also discloses an apparatus designed to examine a surface, comprising a source of light capable of emitting a beam incident on the surface to be examined, means comprising a polarizer and at least one analyser making it possible to measure the reflection either with the directions of the polarizer and the analyser oriented in parallel, or oriented at right angles, the polarizer being placed between the light source and the surface, while the analyser is placed in the path of the reflected beam, photodetector means sensitive to the light reflected by the surface being, in addition, provided. The light source is directional and the polarized incident beam falls on the surface to be studied at an angle of incidence of between 0 and 90°, limits excluded, the direction of polarization of the incident beam being perpendicular to the plane of incidence. The apparatus is arranged in order to measure

the reflection along at least two different reflection directions, one substantially symmetrical with the incident direction relative to the normal to the surface. The apparatus comprises means making it possible to  
5 differentiate, for each reflection direction, between the reflection in parallel polarization and analysis directions and the reflection with perpendicular polarization and analysis directions, the differences thus obtained forming a measurement of the so-called  
10 specular brightness and of the so-called diffuse brightness.

Such an apparatus functions properly, but only allows the examination of an elementary surface or of a point at a  
15 given instant.

*new C4 7*  
The invention proposes to supply brightness data relating to all the points of a surface at a given instant.

20 The invention proposes to supply an improved apparatus for surface examination.

According to one aspect of the invention, the apparatus is designed to examine a surface and comprises a  
25 polarization analyser element or analyser placed in the path of a light beam reflected by the said surface. In addition, the apparatus comprises a means for taking digital images placed in the path of the beam reflected by the said surface downstream of the analyser, and a  
30 processing unit capable of calculating the brightness and the intensity of a plurality of points of the said surface from the pixels of at least two images of the said surface.

35 The examination can be carried out at some distance from the skin. Thus the risk of altering the characteristics



storage means.

5 The invention also relates to a process for the examination of a surface, in which the polarization of a light beam reflected by the said surface is analysed, digital images of particular polarizations of the said reflected beam are taken, and the brightness and the intensity of a plurality of points of the image are calculated from the pixels of at least two images of the  
10 said surface.

In one embodiment of the invention, the said surface is uneven.

15 In one embodiment of the invention, monochromatic digital images are taken.

In one embodiment of the invention, polychromatic digital images are taken.

20 The invention also relates to a computer program comprising program code means to implement the deployment steps of the device, when the said program runs on a computer.

25 The invention also relates to a storage medium that can be read by a device for reading program code means which are stored thereon and which are capable of implementing the deployment steps of the device, when the said program  
30 runs on a computer.

Here, the term point refers to an elementary part of the said surface to be examined, of dimensions corresponding to one pixel of the image obtained by the means for  
35 taking images.

In other words, the surface to be examined, which may be a nail or a part of a nail, the face or a part of the face, etc., of a person, is illuminated. The illumination is carried out by a light source or by a plurality of light sources, such that the said illumination is as isotropic as possible. The light emanating from the illumination means is polarized, for example by means of a fixed polarizer. The polarization of the light reflected by the surface to be examined is analysed such that the part of the light whose polarization has been preserved and the part of the light whose polarization has changed are separated, and this for the whole surface to be examined.

Digital images are taken downstream of the analyser, for example by means of a matrix camera, in order to calculate the degree of polarization of each image pixel. From this, information relating to the brightness of the image is deduced by digital processing. To this end, at least two images and preferably three images, in particular for a rotating analyser and an uneven surface, are taken. The examination is done without contact, in order to increase the comfort of the person, one surface of whom is examined, to remove the risk of inaccuracy or of error connected to an alteration of the concave or convex shape of the surface because of contact, and to remove the risk of altering the brightness and therefore of measurement error, in particular for a surface having received beforehand a treatment product, of the make-up, dyeing or care type, where contact is capable of altering the surface distribution.

The present invention will be better understood and other advantages will appear on reading the detailed description of some embodiments taken by way of non-limiting examples and illustrated by the appended

drawings, in which:

- Figure 1 is a schematic view of the reflection of two light rays;

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- Figure 2 is a schematic view of an apparatus according to one embodiment of the invention;

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- Figure 3 is a curve showing the change in intensity of a pixel as a function of the analyser angle; and

- Figure 4 is a flowchart of the steps of the process.

ins C67  
15 Figure 1 illustrates an object 1 provided with a surface 2 which is illuminated by a light, two incident light rays 3 and 4 of which are shown. The light ray 3 passes through the surface 2 and enters the object 1 along a path 5, then leaves in the form of a diffuse reflected ray 6. This diffuse or "colour" reflection corresponds to  
20 light which enters the object, is reflected inside it then is re-emitted outward. The characteristics of the reflected ray 6 depend on the object 1. The incident light ray 4 is reflected on the surface 2 in the form of a reflected ray 7. This type of reflection is called  
25 specular and is also called brightness. The light due to the brightness has the spectral characteristics of the incident light. The form of the luminance diagram of the reflected ray 7 depends on the roughness of the surface 2.

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As can be seen in Figure 2, it is desired to examine the face 8 of a person, more particularly a surface 9 of the face 8. To this end, an examination apparatus 10 comprising a light source 11, a fixed polarizer 12, a  
35 matrix camera 13, an analyser 14 and a processing unit 15 is provided.

The light source 11 is placed such that it illuminates the surface 9. The light emitted will be as isotropic as possible since it is found that the measurement may be sensitive to the angle of incidence of the light rays on the surface 9. In any case, the light source 11 will have to reproduce the solar spectrum as closely as possible, that is to say, to emit white light.

More particularly, the light source 11 comprises a flash or continuous lamp with an extended spectrum of the xenon or fluorescent-tube type or multicolour light-emitting diodes. The light source 11 also comprises an optical system 11a of the reflector, mirror, objective-lens, light-condenser and optical-fibre type, in order to direct the light at a predetermined angle matched to the surface 9.

The fixed polarizer 12 is placed in the path of the incident light beam 16 emitted by the light source 11, in other words, between the light source 11 and the surface 9. Downstream of the fixed polarizer 12, in the direction of propagation of the incident light beam 16, the light is polarized.

The matrix camera 13 may be of the CCD type and is set up to receive the reflected light beam 17 emanating from the surface 9 when the light source 11 is active. The matrix camera 13 may be provided with an adjustable objective lens 18.

The analyser 14 is placed in the path of the reflected beam 17, in other words, between the surface 9 and the matrix camera 13. The analyser 14 can be oriented, with respect to an axis parallel to that of the reflected beam 17, between at least two positions, for example offset by an angle of  $90^\circ$ . In this way, it is possible to separate



the part of the light beam 17 emanating from a specular reflection and the part emanating from a diffuse reflection, it being specified that in one of these two positions, the analyser 14 has the same polarization as the fixed polarizer 12. If this is not the case, any subsequent digital processing may give a constant output. The analyser 14 may be an orientable polarizer, advantageously provided with a motor 19 capable of rotating it. The motor 19 may be of the stepper-motor type, with high resolution if possible, in order to provide accurate polarization.

The light source 11, the camera 13 and the motor 19 of the orientable polarizer 14, are connected to the processing unit 15 which is of the type comprising at least one memory, at least one microprocessor and at least one control program stored in a memory and capable of being executed by the microprocessor or microprocessors. The processing unit 15 is capable of controlling the turning on/off of the light source 11, the taking of images by the camera 13 and, if required, the adjustment of the objective lens 18 and the appropriate orientations of the analyser 14.

The processing unit 15 may also be connected to devices external to the examination apparatus 10, for example to a monitor 20 provided with a screen 21 making it possible to display images able to represent either the surface 9, or results of the examination carried out, that is to say, data processed by the processing unit 15. The processing unit 15 may also be connected to a keyboard 22 making it possible for an operator to input information or commands.

The orientable polarizer may be of the type with electrooptical orientation, for example the "polarization

rotator" of Displaytech, or with mechanical orientation,  
for example with a motor and a plurality of filters  
mounted on a wheel driven by the motor. As a variant, it  
is also possible to provide a polarizing splitter cube,  
5 for example the "beam splitter" of Oriel, but which  
however would require the use of two measurement cameras.  
Preferably, the analyser 14 is an electrooptical system  
which switches in real time and can be synchronized by an  
external channel connected to the control unit 15. The  
10 analyser 14 makes it possible to separate the brightness,  
which is a component of light reflected in a specular  
manner by the surface 9, from the colour, which is a  
component of light backscattered by the surface 9, when  
the said analyser is placed in front of the matrix camera  
15 13. When the analyser 14 is in the same direction of  
polarization as the incident light beam 16, the camera 13  
picks up the light reflected by the surface 9 together  
with half of the depolarized component. When the analyser  
14 is in a polarization direction orthogonal to the  
20 incident light beam 16, the camera 13 picks up only half  
of the depolarized component. The processing unit 15  
carries out the algebraic operation of subtraction in  
order to obtain the light component linked to the  
brightness and of multiplication in order to obtain the  
25 light component linked to the colour.

Preferably, and for better accuracy, a sufficient number  
of images are acquired for any positions of the analyser  
14. A Fourier analysis of the measured signal, carried  
30 out by the processing unit 15, makes it possible to  
calculate the degree of polarization of the reflected  
light beam 17 and to extract from this the brightness  
component together with the colour component of the  
surface 9.

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The objective lens 18 of the camera 13 makes it possible

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point.

If the surface to be examined is plane, the angle through which the polarization rotates is the same at each point.

5 It is then enough to take two images at two different angles of the analyser, one corresponding to the maximum, the other to the minimum of the curve of Figure 3, in order to determine the part due to colour and the brightness part at each point of the image. The angular  
10 positions of the analyser can easily be determined automatically since they correspond to a general minimum and maximum of the image.

If the surface to be examined is uneven, a phase shift  
15 appears at each point of the image, and it is necessary  
to use at least three different positions of the  
analyser.

The intensity at each point can be written

20  $I = I_a + I_b \cos(2\theta)$

where  $\theta$  is the angle between the analyser and the vertical,  $I_a$  is the mean value of the signal  $I$ , and  $I_b$  is half the difference between the maximum and the minimum of the signal  $I$ .

25 If, for example, three positions regularly spaced by  $45^\circ$  are used, the following is obtained at each point of the image:

30 The various steps of the process of examining the surface  
9 are illustrated in Figure 4.

$$I_o = I_a + I_b \cos(2\theta_o)$$

$$I_{45} = I_a + I_b \cos(2(\theta_o + \frac{\pi}{4})) = I_a + I_b \cos(2\theta_o + \frac{\pi}{2}) = I_a - I_b \sin(2\theta_o)$$

$$I_{90} = I_a + I_b \cos(2(\theta_o + \frac{\pi}{2})) = I_a + I_b \cos(2\theta_o + \pi) = I_a - I_b \cos(2\theta_o)$$

so :

$$I_a = \frac{I_o + I_{90}}{2}$$

$$I_b = [(I_{90} - I_a)^2 + (I_{45} - I_a)^2]^{1/2} = \frac{1}{2} [(I_{90} - I_o)^2 + (I_{45} - I_o - I_{90})^2]^{1/2}$$

but  $I_{\text{brightness}} = 2I_b$  and  $I_{\text{colour}} = 2(I_a - I_b)$ ; therefore :

$$I_{\text{brightness}} = [(I_{90} - I_o)^2 + (I_{45} - I_o - I_{90})^2]^{1/2}$$

$$I_{\text{colour}} = I_o + I_{90} - [(I_{90} - I_o)^2 + (I_{45} - I_o - I_{90})^2]^{1/2}$$

At step 30, the operator or even the user controls the start of the examination, for example by means of the keyboard 22.

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At step 31, the processing unit 15 having received the start command, sends an activation order to the light source 11 which starts to emit the incident light beam 16.

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At step 32, the camera 13 takes an image for an angle of the analyser 14 of  $0^\circ$ .

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At step 33, the camera 13 takes an image for an angle of the analyser 14 equal to  $45^\circ$  and at step 34, the camera 13 takes an image for an angle of the analyser 14 of  $90^\circ$ .

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Where the operator considers that the surface to be examined is a plane, in particular where it concerns a very small surface, step 33 can be omitted.

- 5 At step 35, the processing unit 15 carries out the numeric calculation making it possible to separate the brightness and colour components in the reflected light beam 17, in other words, to obtain a brightness image and a colour image.

- 10 At step 36, the result of the processing is displayed on the screen 21, in the form which appears most suitable, curve, graph, diagram, etc.

- 15 During steps 35 and 36, the analyser 14 is designed to return to an angle of  $0^\circ$  in order to be ready to start the examination of another surface.

- 20 In another embodiment of the invention, the analyser carries out a continuous rotation during which several images are taken by the camera 13. For a given surface to be examined, the more images are taken, the more accurate will be the estimation of the brightness.

- 25 During step 35 of processing by the unit 15, the fact  
that the human eye is sensitive to the contrast between  
the brightness and the colour more than the brightness  
alone, is taken into account. By way of example, a black  
with a given brightness level will seem brighter than a  
30 white with the same brightness level. The unit 15  
therefore carries out, on the one hand, a calculation  
making it possible to map the brightness and, on the  
other hand, a calculation of the brightness compared with  
the colour. Preferably, information relating to the  
35 brightness compared with the colour, which is the most  
relevant with regard to the impression perceived by the

Thus, the surface examination apparatus makes it possible to measure the brightness and the relative brightness of all types of surfaces, in particular keratinous surfaces, for example hair, lips, nails, skin, etc.

These various surfaces may have received beforehand various types of treatment products, for example care, dyeing, make-up products, etc. In the case of make-up, 10 the surface examination apparatus makes it possible to estimate the degree of mattness of the surface, especially of the skin, when it is made up.